

Data Protection Policy

Introduction

Purpose

Advanced Labelling Systems Limited (ALS) is committed to being transparent about how it collects and uses the personal data of its workforce, and to meeting its data protection obligations. This policy sets out our commitment to data protection, and individual rights and obligations in relation to personal data.

This policy applies to the personal data of job applicants, employees, contractors and former employees, referred to as HR-related personal data. [This policy does not apply to the personal data of clients or other personal data processed for business purposes.]

Phil Donaldson is responsible for data protection compliance within ALS and any questions about this policy, or requests for further information, should be directed to him

Definitions

"Personal data" is any information that relates to an individual who can be identified from that information. Processing is any use that is made of data, including collecting, storing, amending, disclosing or destroying it.

"Special categories of personal data" means information about an individual's racial or ethnic origin, political opinions, religious or philosophical beliefs, trade union membership, health, sex life or sexual orientation and biometric data.

"Criminal records data" means information about an individual's criminal convictions and offences, and information relating to criminal allegations and proceedings.

Data protection principles

ALS processes HR-related personal data in accordance with the following data protection principles:

- Personal data is processed in a lawfully, fair and transparent manner.
- Personal data is only collected for specified, explicit and legitimate purposes.
- ALS processes personal data only where it is adequate, relevant and limited to what is necessary for the purposes of processing.
- ALS keeps accurate personal data and takes all reasonable steps to ensure that inaccurate personal data is rectified or deleted without delay.
- Personal data is only retained for the period necessary for processing.
- Appropriate measures are in place to ensure that personal data is secure, and protected against unauthorised or unlawful processing, and accidental loss, destruction or damage.

ALS tells individuals the reasons for processing their personal data, how it uses such data and the legal basis for processing in its privacy notices. It will not process personal data of individuals for other reasons.

Where ALS processes special categories of personal data or criminal records data to perform obligations or to exercise rights in employment law, this is done in accordance with a separate policy on special categories of data and criminal records data.

Data protection principles (continued)

ALS will update HR-related personal data promptly if an individual advises that his/her information has changed or is inaccurate.

Personal data gathered is held in the individual's personnel file (in hard copy) and on our ERP system.

Individual rights

As a data subject, individuals have a number of rights in relation to their personal data.

Subject access requests

Individuals have the right to make a subject access request. If an individual makes a subject access request, ALS will tell him/her:

- whether or not his/her data is processed and if so why, the categories of personal data concerned and the source of the data if it is not collected from the individual;
- to whom his/her data is or may be disclosed, including to recipients located outside the European Economic Area (EEA) and the safeguards that apply to such transfers;
- for how long his/her personal data is stored (or how that period is decided);
- his/her rights to rectification or erasure of data, or to restrict or object to processing;
- his/her right to complain to the Information Commissioner if he/she thinks ALS has failed to comply with his/her data protection rights; and
- whether or not ALS carries out automated decision-making and the logic involved in any such decision-making.

ALS will also provide the individual with a copy of the personal data undergoing processing. This will normally be in electronic form if the individual has made a request electronically, unless he/she agrees otherwise.

If the individual wants additional copies, ALS will charge a fee, which will be based on the administrative cost to ALS of providing the additional copies.

To make a subject access request, the individual should send the request to Phil.donaldson@als-uk.com

In some cases, ALS may need to ask for proof of identification before the request can be processed. ALS will inform the individual if it needs to verify his/her identity and the documents it requires.

ALS will normally respond to a request within a period of one month from the date it is received. In some cases, such as where ALS processes large amounts of the individual's data, it may respond within three months of the date the request is received. ALS will write to the individual within one month of receiving the original request to tell him/her if this is the case.

If a subject access request is manifestly unfounded or excessive, ALS is not obliged to comply with it. Alternatively, ALS can agree to respond but will charge a fee, which will be based on the administrative cost of responding to the request. A subject access request is likely to be manifestly unfounded or excessive where it repeats a request to which ALS has already responded. If an individual submits a request that is unfounded or excessive, ALS will notify him/her that this is the case and whether or not it will respond to it.

Other rights

Individuals have a number of other rights in relation to their personal data. They can require ALS to:

- rectify inaccurate data;
- stop processing or erase data that is no longer necessary for the purposes of processing;
- stop processing or erase data if the individual's interests override ALS's legitimate grounds for processing data (where ALS relies on its legitimate interests as a reason for processing data);
- stop processing or erase data if processing is unlawful; and
- stop processing data for a period if data is inaccurate or if there is a dispute about whether or not the individual's interests override ALS's legitimate grounds for processing data.

To ask ALS to take any of these steps, the individual should send the request to phil.donaldson@als-uk.com

Data security

ALS takes the security of HR-related personal data seriously. ALS has internal policies and controls in place to protect personal data against loss, accidental destruction, misuse or disclosure, and to ensure that data is not accessed, except by employees in the proper performance of their duties.

Where ALS engages third parties to process personal data on its behalf, such parties do so on the basis of written instructions, are under a duty of confidentiality and are obliged to implement appropriate technical and organisational measures to ensure the security of data.

Data breaches

If ALS discovers that there has been a breach of HR-related personal data that poses a risk to the rights and freedoms of individuals, it will report it to the Information Commissioner within 72 hours of discovery. ALS will record all data breaches regardless of their effect. It is important as employees to report any suspected breaches immediately to Phil Donaldson, to enable the company to comply with the Information Commissioner's 72 hours requirement.

If the breach is likely to result in a high risk to the rights and freedoms of individuals, it will tell affected individuals that there has been a breach and provide them with information about its likely consequences and the mitigation measures it has taken.

International data transfers

ALS will not transfer HR-related personal data to countries outside the EEA.

Individual responsibilities

Individuals are responsible for helping ALS keep their personal data up to date. Individuals should let ALS know if data provided to ALS changes, for example if an individual moves house or changes his/her bank details.

Individuals may have access to the personal data of other individuals and of our customers and clients in the course of their employment. Where this is the case, ALS relies on individuals to help meet its data protection obligations to staff and to customers and clients.

Individual responsibilities (continued)

Individuals who have access to personal data are required:

- to access only data that they have authority to access and only for authorised purposes;
- not to disclose data except to individuals (whether inside or outside ALS) who have appropriate authorisation;
- to keep data secure by complying with rules on access to premises, computer access, including password protection, and secure file storage and destruction
- not to remove personal data, or devices containing or that can be used to access personal data, from ALS's premises without adopting appropriate security measures such as encryption or password protection to secure the data and the device; and
- not to store personal data on local drives or on personal devices that are used for work purposes.

Failing to observe these requirements may amount to a disciplinary offence, which will be dealt with under ALS's disciplinary procedure. Significant or deliberate breaches of this policy, such as accessing employee or customer data without authorisation or a legitimate reason to do so, may constitute gross misconduct and could lead to dismissal without notice.

Training

ALS will provide training to all individuals about their data protection responsibilities as part of the induction process and at regular intervals thereafter.

Individuals whose roles require regular access to personal data, or who are responsible for implementing this policy or responding to subject access requests under this policy, will receive additional training to help them understand their duties and how to comply with them.

A handwritten signature in blue ink, appearing to read 'P. D. M.' followed by a long, horizontal flourish.

Managing Director

Dated: 1st April 2022